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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.,	661005.90012
First Inventor or Application Identified	Nick J. Huige, et al.
Title	METHOD OF OXYGENATING YEAST SLURRY USING HYDROPHOBIC POLYMERIC MEMBRANES
Express Mail Label No.	EJ187995368US

APPLICATION ELEMENTS
 See MPEP Chapter 600 concerning utility patent application contents.

- | | |
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| 1. <input checked="" type="checkbox"/> Fee transmittal Form
(Submit an original and a duplicate for fee processing) | 6. <input type="checkbox"/> Microfiche Computer Program (Appendix) |
| 2. <input checked="" type="checkbox"/> Specification [Total Pages 18]
(preferred arrangement set forth below) | 7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary) |
| <ul style="list-style-type: none"> - Descriptive title of the invention - Cross References to Related Applications - Statement Regarding Fed Sponsored R&D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure | |
| 3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 2] | a. <input type="checkbox"/> Computer readable Copy |
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November 23, 1999

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Re: Filing Patent Application

Dear Sir:

Enclosed for filing please find a new patent application entitled: METHOD OF OXYGENATING YEAST SLURRY USING HYDROPHOBIC POLYMERIC MEMBRANES

by Nick J. Huige
Murthy Tata
Jeff Fehring
Michael C. Ryder
David S. Ryder
Alfonso Navarro

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Respectfully submitted,

A handwritten signature in black ink, appearing to read "Michael C. Ryder". It is positioned above a horizontal line.

METHOD OF OXYGENATING YEAST SLURRY USING HYDROPHOBIC
POLYMERIC MEMBRANES

CROSS-REFERENCE TO RELATED APPLICATIONS

Not applicable.

5 STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH
OR DEVELOPMENT

Not applicable.

BACKGROUND OF THE INVENTION

Brewer's yeast is used as a biocatalyst to promote
10 fermentation of carbohydrates to ethanol in the production
of beer and other fermented beverages. In brewing,
fermentation is performed by mixing brewer's yeast with a
source of fermentable carbohydrate (usually wort) and
incubating the mixture under conditions suitable for
15 fermentation.

Commonly, wort is inoculated or "pitched" with yeast
harvested from a previous fermentation. Generally,
pitching yeast has experienced anaerobic conditions during
fermentation. Before a subsequent fermentation can occur,
20 the anaerobic pitching yeast must first synthesize
essential lipid components, including sterols and
unsaturated fatty acids. Synthesis of these lipids
requires oxygen and a sufficient reserve of glycogen.

A conventional approach to insuring sufficient oxygen
25 for yeast to synthesize lipids has been to aerate the wort.
However, by this method, the level of oxygen in the wort
must be carefully controlled to ensure a consistent
product. Sub-optimal oxygen levels cause slow
fermentation. High levels of oxygen in the wort can cause
30 rapid fermentation, which leads to alterations in the beer

flavor and overfoaming.

U.S. Serial No. 899,756 discloses a method of enhanced fermentation that includes aerating or oxygenating wort after pitching with the yeast culture. Aerating the wort
5 after pitching is routinely done in the brewing industry to promote fermentation. However, it is thought that aerating the yeast in wort may contribute to the production of staling precursors.

Attempts to develop ways of aerating or oxygenating
10 yeast slurries prior to pitching have been made. An important consideration in developing such methods is how to deliver oxygen to the yeast at a rate that approaches the rate of oxygen uptake by yeast. A dense brick yeast slurry for pitching has an oxygen uptake rate (r) of about
15 5 mg/min/liter. If the slurry were saturated with air, the dissolved oxygen level would be about 10 mg/liter. The yeast in this slurry can take up this oxygen in 2 minutes.

Several systems described in literature were devised
that supply oxygen at a rate sufficient to meet the high
20 oxygen demand of yeast.

UK Patent Application GB 2 197 341 discloses a method of aerating yeast prior to fermentation in which the pitching yeast is first diluted with water and then exposed to oxygen for a period of time until the yeast reaches its
25 maximum rate of oxygen consumption. The yeast is then used to pitch oxygen-free wort. In this system, oxygen is supplied through a fritted gas distributor. Oxygen thus supplied enters the slurry in the form of small gas bubbles that cause foaming, especially at higher supply rates,
30 which increase bubble size. To reduce foaming, the yeast slurry was diluted 10-fold, thereby reducing the oxygen requirement by 10 times.

Belgian patent Be 1010885A3 discloses a method of oxygenating yeast by means of a micro-porous ceramic
35 membrane contactor through which a dense yeast slurry is circulated. The aluminum oxide membrane has an average pore size of 0.5 micron. In order for the oxygen to pass

through the membrane, a higher pressure must be maintained on the gas side of the membrane, relative to the pressure on the liquid side of the membrane. Under these conditions, oxygen enters the yeast slurry as micro bubbles. In this system, formation of foam is reduced but not eliminated. Because of the smaller bubble size and the large number of pores per unit surface area, this method affords improved mass transfer of oxygen to the yeast slurry over that of the method disclosed in GB 2 197 341.

10 However, mass transfer is not optimal because oxygen supplied in the form of bubbles is slow to dissolve because the bubbles move along with the liquid, causing a relatively large liquid boundary layer and a slow dissolution rate. Delivery of sufficient oxygen to the

15 yeast by this method would depend on providing a very large contactor made of relatively expensive ceramic material.

US Patent 5,565,149 discloses a method for delivering oxygen with a membrane system that supplies oxygen without forming bubbles. The membrane is 99.9% non-porous. Oxygen is transferred across the membrane by diffusion. The efficiency of oxygen transfer and the oxygen transfer rate are reduced by the additional diffusion step.

Oxygenating the yeast prior to pitching is known to be superior to oxygenating the wort in terms of producing a consistently good product. Despite this, yeast oxygenation prior to pitching is not generally practiced in breweries because of difficulties associated with supplying oxygen to pitching yeast at a rate sufficient to keep up with the very high oxygen uptake rate of the yeast. Those systems described in literature that report high oxygen supply rates are uneconomical or cause excessive foaming.

There remains a need in the art for an economical method of aerating or oxygenating that does not cause foaming.

35

BRIEF SUMMARY OF THE INVENTION

The present invention includes an economical method of

efficiently oxygenating yeast slurry prior to pitching comprising passing the yeast slurry through a membrane contactor having at least one microporous hydrophobic polymeric membrane, the membrane having a gas side and a
5 liquid side, wherein the contactor is connected to an oxygen source, and wherein at least a portion of the yeast slurry is in proximity to the membrane on the liquid side, and delivering oxygen from the oxygen source through the membrane to the yeast slurry.

10 It is an object of the invention to provide a cost-effective method of oxygenating yeast slurry.

It is a further object of the invention to provide a method that allows efficient transfer of oxygen to an undiluted yeast slurry.

15 It is an advantage of the present invention that the yeast slurry may be oxygenated with essentially no foaming of the slurry.

Other objects, features, and advantages of the invention will become apparent upon review of the
20 specification.

BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWINGS

Fig. 1 shows the flow of yeast slurry from a tank to an oxygenation membrane and the return of the oxygenated slurry to the tank.

25 Fig. 2 shows fermentation times for aerated and nonaerated wort as a function of yeast oxygenation time.

DETAILED DESCRIPTION OF THE INVENTION

The present invention provides an economical method of oxygenating or aerating yeast slurry prior to pitching that
30 does not cause foaming. (The terms "oxygenate" or "aerate" are used interchangeably herein; for ease of reading, the term oxygenate will be used throughout the remainder of the specification). Oxygenating yeast prior to pitching allows the yeast cells to obtain sufficient oxygen to synthesize

sterols and fatty acids, which are needed for cell growth during the fermentation process. By oxygenating the yeast slurry rather than the wort, greater consistency of the final product may be attained with reduced levels of
5 staling precursors.

In the method of the invention, undiluted yeast is oxygenated by means of a microporous hydrophobic polymeric membrane across which gas may be exchanged. The membrane provides a high surface area contact between the liquid
10 yeast slurry without direct mixing. The high surface area to volume ratio provides a high specific surface area for mass transfer. The method by which oxygen is delivered to the slurry does not cause the formation of foam-producing bubbles. In addition minimizing foam, the present
15 invention allows operation at higher K_L values.

With reference to Figure 1, in a preferred embodiment of the invention, yeast slurry is contained in a tank **10**. A portion of the yeast is transferred from the tank **10** to a membrane oxygenator **20** equipped with at least one
20 microporous hydrophobic membrane **30** having a liquid side **40** and a gas side **50**. The slurry is passed over the liquid side **40** of the membrane. Oxygen gas from an oxygen tank **60** is delivered into the gas side **50** of the membrane and passes across the membrane to the slurry. Oxygenated yeast
25 slurry is returned to the tank **10**. Optionally, the system may include means **70** for measuring dissolved oxygen in the slurry.

The oxygen uptake rate in a yeast suspension can be calculated from the measured dissolved oxygen evolution
30 profiles at any time during oxygenation using the following equations:

The oxygen mass balance can be written as:

$$35 \frac{VdC}{dt} = F(C_d - C) - rV \quad (1)$$

where C and C_d are the dissolved oxygen concentrations (mg/L) in the yeast slurry and the oxygenator discharge, respectively, V is the slurry volume (L), F is the slurry flow rate through the oxygenator (recirculation rate, 5 L/min), and r is the instantaneous oxygen uptake rate (mg/min·L) of the yeast after time (t) in the oxygenator, measured in minutes.

Equation (1) may be rearranged to calculate the oxygen uptake rate from the measured dissolved oxygen 10 concentrations and the rate of change of dissolved oxygen in the yeast tank:

$$r = \frac{F}{V} (C_d - C) - \frac{dC}{dT} \quad (2)$$

The oxygen uptake rate r is usually expressed in mg 15 $O_2/\text{min}/10^9 \text{ cells}$. The mass transfer efficiency is usually expressed by the factor k_{La} in which k_L is the mass transfer coefficient (cm/min) and a is the interfacial area between gas and liquid divided by the liquid volume in cm^{-1} . As can be seen from the following equation, there are four 20 parameters that may be varied to allow oxygen to be supplied at a rate sufficient to keep up with a high r value:

$$r = k_L a * V_{\text{oxygenator}} / V_{\text{slurry}} * V_{\text{slurry}} / 10^9 \text{ cells} * (C^* - C)$$

wherein C^* is the concentration of oxygen in the liquid in 25 equilibrium with the partial pressure of oxygen in the gas.

In designing the method of the invention, the following changes to variables affecting the rate of oxygen uptake were considered:

30 (1) Increasing the k_{La} . As shown in the examples, the method of the invention affords a k_{La} value that is at least an order of magnitude greater than the maximum previously reported k_{La} value (Masschelein, C.A. et al.

Congress Proceedings, European Brewing Conference, 1995,
377-386).

(2) Increasing the ratio of the volume of the oxygenation system to the volume of the yeast slurry.

5 Even with a relatively low k_{La} value, as in the system disclosed in Be1010885A3, sufficient oxygen can be supplied by increasing the volume of the oxygenation system relative to the volume of the yeast slurry. However, this option is uneconomical, especially if
10 expensive membrane materials such as ceramic are used.

(3) The oxygen supply requirement may be reduced by diluting the yeast slurry, as disclosed in GB 2197341. This option requires larger equipment and is also uneconomical.

15 (4) Increasing the difference in oxygen concentration between the gas and liquid phases (C^*-C). This difference is the driving force for gas transfer, but this parameter is limited in all systems. In the bubble transfer systems, an increase in gas delivery pressure or
20 flow rate will produce larger bubbles that cause greater foaming and a lower k_{La} value. In the bubble-free transfer system of the present invention, an increase in gas pressure requires a pressure increase on the liquid side, because the liquid pressure must be higher than the
25 gas pressure to prevent bubble formation.

In the method of the present invention, the system gas is supplied in the form of molecular oxygen (O_2). The oxygen is supplied using a hydrophobic membrane system. By this method, the pressure on the liquid side is kept higher
30 than the pressure on the gas side to prevent the gas from passing through the membrane as bubbles. Reduced bubble formation has the advantage of greatly reducing or eliminating foam formation.

Because the membrane is hydrophobic, the liquid does
35 not penetrate the pores and the gas-liquid interface is maintained on the liquid side of the membrane. High k_{La} values can be achieved by renewing the liquid surface

continuously through the application of a high cross flow velocity.

In the initial evaluation of the invention, a hydrophobic membrane oxygenator of the type used as a blood 5 oxygenator (Model Max-FTE, Medtronic, Minneapolis, MN) was employed, with the yeast slurry flowing at a cross flow to the membranes. As disclosed in US Patent 4,975,247 (incorporated by reference herein) this type of mass transfer device comprises hollow fiber membranes. Yeast 10 slurry flowed about the exterior (liquid side) of the fibers and oxygen was delivered to the interior of the fibers (gas side).

In the examples, a portion of the yeast slurry was pumped to the membrane contactor at a flow rate of 15 about 800 ml/min. The residence time of the slurry in the contactor was about 9 seconds. The average velocity was about 41 cm/min in the fiber bed and the superficial velocity (flow rate/cross sectional area of the fiber bed) was about 13.7 cm/min.

20 One of ordinary skill in the art will appreciate that one may vary these parameters and still achieve acceptable oxygenation, provided that the k_{La} value is high. The k_{La} values employed in the examples were in the range of from about 0.1 sec^{-1} to about 0.4 sec^{-1} . It is expected that 25 other k_{La} values will give acceptable results using the method of the invention, provided that the k_{La} is at least about 0.005 sec^{-1} . More preferably, the k_{La} is at least about 0.1 sec^{-1} . More preferably still, the k_{La} is 0.4 sec^{-1} or greater.

30 One skilled in the art will appreciate that in addition to the membrane contactor disclosed in the examples, there are other membrane configurations that are also suitable for use in the invention. It is expected that spiral wound, vibrating, rotary and tubular hollow 35 fiber membranes may also be used in the practice of the invention.

The membrane contactor used in the examples is

suitable for relatively small fermentation volumes. One of skill in the art would appreciate that for relatively large fermentations, one would wish to use a larger contactor.

In the method of the invention, yeast slurry flows
5 over the liquid side of the membrane and oxygen is delivered to the gas side. In the examples below, the flow path of the yeast slurry within the fiber was in a direction substantially perpendicular to the fiber axis (cross flow). It is expected that parallel and counter
10 current flows may also be successfully employed.

The hydrophobic membrane contactor used in the examples below comprises a plurality of hollow fiber membranes having an inner diameter of about 200 um and an outer diameter of about 300 um. Hollow fiber membranes
15 having an outer diameter in the range of from about 10 um to about 3 mm, and an inner diameter in the range of from about 5 um to about 2.9 mm are expected to be suitable in the practice of the present invention.

The membranes have a plurality of pores through which
20 oxygen may be transferred. The membranes used in the examples had a pore size of about 0.03 um. However, membranes having larger or smaller pore sizes may be used, provided that the pore size is sufficiently large to allow oxygen to transfer across the membrane but not so large as
25 to allow liquid to break through the membrane at the operating transmembrane pressure differential. Suitable micro-porous membranes should have pores in the range of from about 0.1 nm to about 2 um.

The membranes used in the examples have a porosity of
30 about 40% of the membrane contact area. The porosity is percentage of membrane area that is open. It is expected that membranes having a porosity in the range of from about 2% to about 95% would be suitable in the practice of the present invention.

35 The membranes used in the examples were made of micro-porous polypropylene. The membranes may be made from any suitable nonreactive, hydrophobic polymeric material. Such

materials include, but are not limited to, polypropylene, polysulfone, polytetrafluoroethylene (PTFE or Teflon), polyvinylidenedifluoride, polyvinylidenedichloride, and polyethylene.

5 Molecular oxygen is delivered in an oxygen-containing gas. Preferably, the molecular oxygen is delivered as oxygen gas containing greater than 10% oxygen. Optionally, the oxygen may be delivered as air, oxygen-enriched air, or any other suitable gas containing oxygen.

10 In the examples, oxygen was delivered at a flow rate of 0.25 L/min. Lower or higher rates may be employed, as long as oxygen is provided at a rate that is at least is at least two fold greater than the expected oxygen uptake rate. Still more preferably, the oxygen delivery rate is
15 at least five fold greater than the oxygen uptake rate.

The yeast used in the examples below was an undiluted brink yeast used in lager beer fermentations at Miller Brewing Company. One of ordinary skill in the art that the practice of the present invention would appreciate that the
20 invention may be used to oxygenate any type of yeast used in fermentations.

Preferably, the yeast slurry of the present invention comprises from about 40 g/L yeast (dry weight) to about 80 g/L yeast (dry weight). However, one wishing to dilute the
25 yeast to less than 40 g/L prior to oxygenating may do so.

In the examples below, the temperature of the yeast slurry was maintained at about 60°F during oxygenation. This temperature was chosen because that it is the typical fermentation temperature of the particular yeast used in
30 the examples. However, it should be appreciated that temperature during oxygenation is not critical to the practice of the invention. The yeast slurry may be oxygenated at ambient temperature, or the yeast may be maintained at a desired temperature or range of
35 temperatures during oxygenation using a temperature control system. The temperature of the yeast slurry may be controllably altered during the course of oxygenation by

using a programmable temperature control system. Conveniently, the oxygenation may be conducted at a temperature close to the temperature at which subsequent fermentations will be conducted.

5 Following oxygenation, the yeast may be used for pitching. Fermentation is allowed to proceed using standard fermentation conditions. Depending on the brewer's objective, the yeast may be used to pitch aerated or unaerated wort.

10 If the primary objective is affecting or controlling flavor profiles or to prevent formation of staling precursors, nonaerated wort should be used in the fermentation. As shown in the examples, fermentations in which nonaerated wort was pitched with yeast oxygenated by
15 the method of the invention were characterized by substantially decreased production of higher alcohols and increased production of esters. Esters are generally considered to confer desirable flavors to beer.

It is envisioned that the method of the invention may
20 be used to obtain high fermentation rates by using oxygenated yeast to pitch aerated wort. Preferably, fermentation may be completed in a shorter time using yeast aerated by the method of the invention than by conventional fermentation methods, in which aerated wort is pitched with
25 non-aerated yeast slurry.

In addition to pitching and fermentation, yeast oxygenated by the method of the invention may be used in other aspects of the brewing process, including krauesening, lagering, or any other application in which
30 yeast is generally used. It is envisioned that oxygenated yeast may also be used in the wine industry to manipulate flavor.

In the examples below, the yeast slurry was decarbonated and stripped of entrained oxygen prior to
35 oxygenating yeast. This step was included to ensure accurate measurements of oxygen concentrations during the course of oxygenation. This step may be omitted,

particularly if the primary objective of yeast oxygenation is to boost fermentation rates in subsequent fermentations.

The following nonlimiting examples are intended to be purely illustrative.

5

Materials

Whole yeast suspensions (40 to 80 grams dry weight/L, ~ 10 to 12 L) were employed as yeast slurries in the oxygenation studies.

10 The membrane oxygenator used in the studies is sold as a blood oxygenator by Medtronic (Minneapolis, MN). The membrane has a surface area of about 2.4 m² and a liquid hold-up volume of approximately 120 ml.

Oxygenation of yeast slurries

15 Yeast was oxygenated by a process as represented schematically in Figure 1. A yeast suspension was placed in a 12 liter stainless steel tank ("yeast tank") equipped with an overhead agitator for continuous mixing. The temperature of the yeast slurry was maintained at a predetermined, constant temperature (60°F) by means of a temperature control system. The tank was equipped with ports for transferring the yeast from the tank to a membrane oxygenator and returning the oxygenated yeast from the oxygenator to the tank. The tank included an additional port connected to a sensor for measuring dissolved oxygen. The system was equipped with an additional oxygen sensor that allowed the dissolved oxygen in the yeast slurry to be measured as the yeast was discharged from the oxygenator.

30 In order to ensure accurate measurements, the yeast slurry was decarbonated and stripped of any oxygen entrained during the vessel filling prior to beginning the oxygenation experiments. Decarbonation and deoxygenation was accomplished by purging the membrane oxygenator with nitrogen gas at ambient pressure while the yeast is

circulating. After the yeast suspension was decarbonated, deoxygenated, and had achieved the desired temperature (e.g., 60 °F) (10 to 30 minutes), the nitrogen purge was discontinued and oxygen supply initiated at ambient 5 pressure.

Yeast slurry was transferred from the tank to the membrane oxygenator at a rate of 0.8 L/min. Oxygen was delivered from an oxygen supply cylinder to the oxygenator at a rate of about 0.25 L/min. Residence time for the 10 yeast slurry in the oxygenator was about nine seconds.

The dissolved oxygen levels in the yeast suspension in the tank as well as at the oxygenator discharge were recorded continuously. Yeast samples were collected every several hours and were used to inoculate separate beer 15 fermentations. An aliquot of each yeast sample collected was analyzed for sterols content.

Performance of oxygenated yeast in beer fermentation

Yeast oxygenated as described above was pitched into fermentors containing 2 L volumes of sterile wort to a 20 target 12×10^6 cells mL⁻¹. The fermentors were vented through thermal mass flowmeters to measure fermentation rates on-line. Daily samples were taken from the vessels to determine the pH and extract content. Total cell growth and cell viability were determined at the end of 25 fermentation by hemocytometer cell counts.

Yeast oxygenated by the method of the invention was pitched in both oxygenated and nonoxygenated wort. The time required to reach the end of fermentation (defined the time when the carbon dioxide evolution rate reaches 0.4 30 ml/min·L) as a function of the time of yeast oxygenation is shown in Fig. 2 for both aerated and nonaerated wort.

As can be seen from Fig. 2, fermentation rates of aerated (closed circles) or nonaerated (open circles) wort was increased by pitching with oxygenated yeast. 35 Fermentations using nonoxygenated wort and pitched with yeast aerated about 8-10 hours had fermentation times

approximately the same as those obtained with aerated wort pitched with nonoxygenated yeast (closed circle time zero). Yeast viability was not affected by oxygenation. Yeast growth was reduced, which resulted in reduced fusel oil and 5 increased esters.

Fermentations in which nonaerated wort was pitched with yeast oxygenated by the method of the invention showed a 10% decrease in production of higher alcohols and a 5% increase in production of esters.

10 The present invention is not limited to the exemplified embodiments, but is intended to encompass all such modification and variation as come within the scope of the following claims.

CLAIMS

WE CLAIM:

1. A method of oxygenating yeast slurry comprising
5 the steps of:

(a) passing at least a portion of the yeast slurry
through a membrane contactor, the contactor comprising at
least one hydrophobic, microporous membrane, the membrane
having a liquid side and a gas side, wherein the contactor
10 is connected to an oxygen source, and wherein at least a
portion of the yeast slurry is in proximity to the membrane
on the liquid side;

(b) delivering oxygen from the oxygen source to the
gas side of the membrane under conditions that cause at
15 least a portion of the oxygen to transfer from the gas side
of the membrane to the yeast slurry.

2. The method of claim 1, wherein the yeast slurry is
circulated in a closed system between a yeast tank and the
membrane contactor.

20

3. A method of fermenting a liquid medium, comprising
the step of pitching the medium with oxygenated yeast,
wherein the yeast is oxygenated by the method of claim 1.

25

4. The method of claim 3, wherein the medium is

wort.

5. The method of claim 4, wherein the wort is aerated
prior to pitching.

6. The method of claim 4, wherein the wort is not
aerated prior to pitching.

7. A fermented beverage made by the method of claim
3.

8. The beverage of claim 7, wherein the beverage is
beer.

ABSTRACT OF THE DISCLOSURE

Disclosed is a an economical method of efficiently oxygenating yeast slurry without bubble formation. The 5 method employs a membrane oxygenator comprising at least one hydrophobic, microporous membrane having a gas side and a liquid side. The yeast slurry flows over the liquid side of the membrane; oxygen is delivered to the gas side of the membrane and passes through the pores to the yeast slurry.

SEQUENCE LISTING

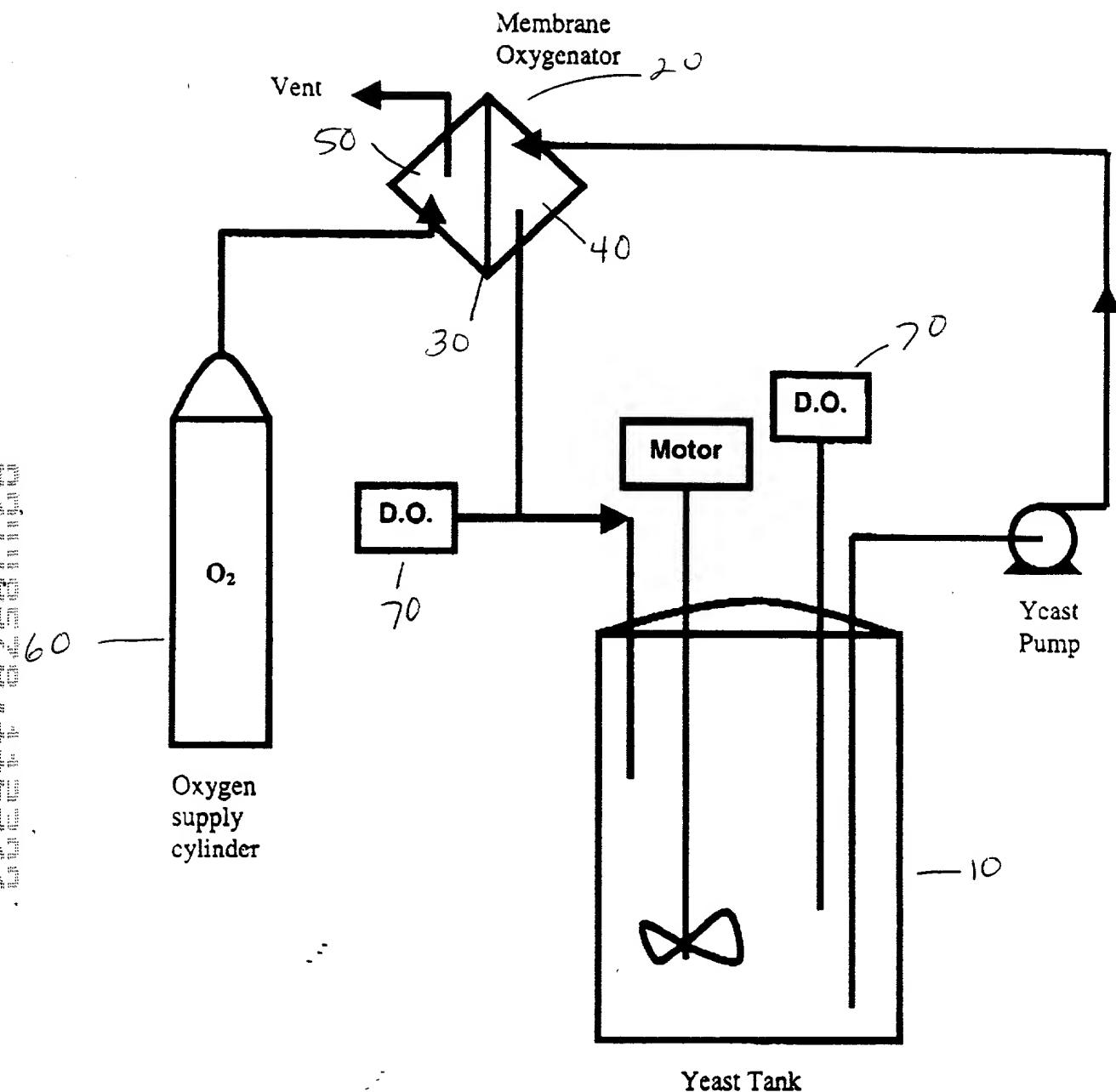
Not applicable.

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FIGURE 1.



Effect of Yeast Oxygenation Time on Time to Reach End Of Fermentation

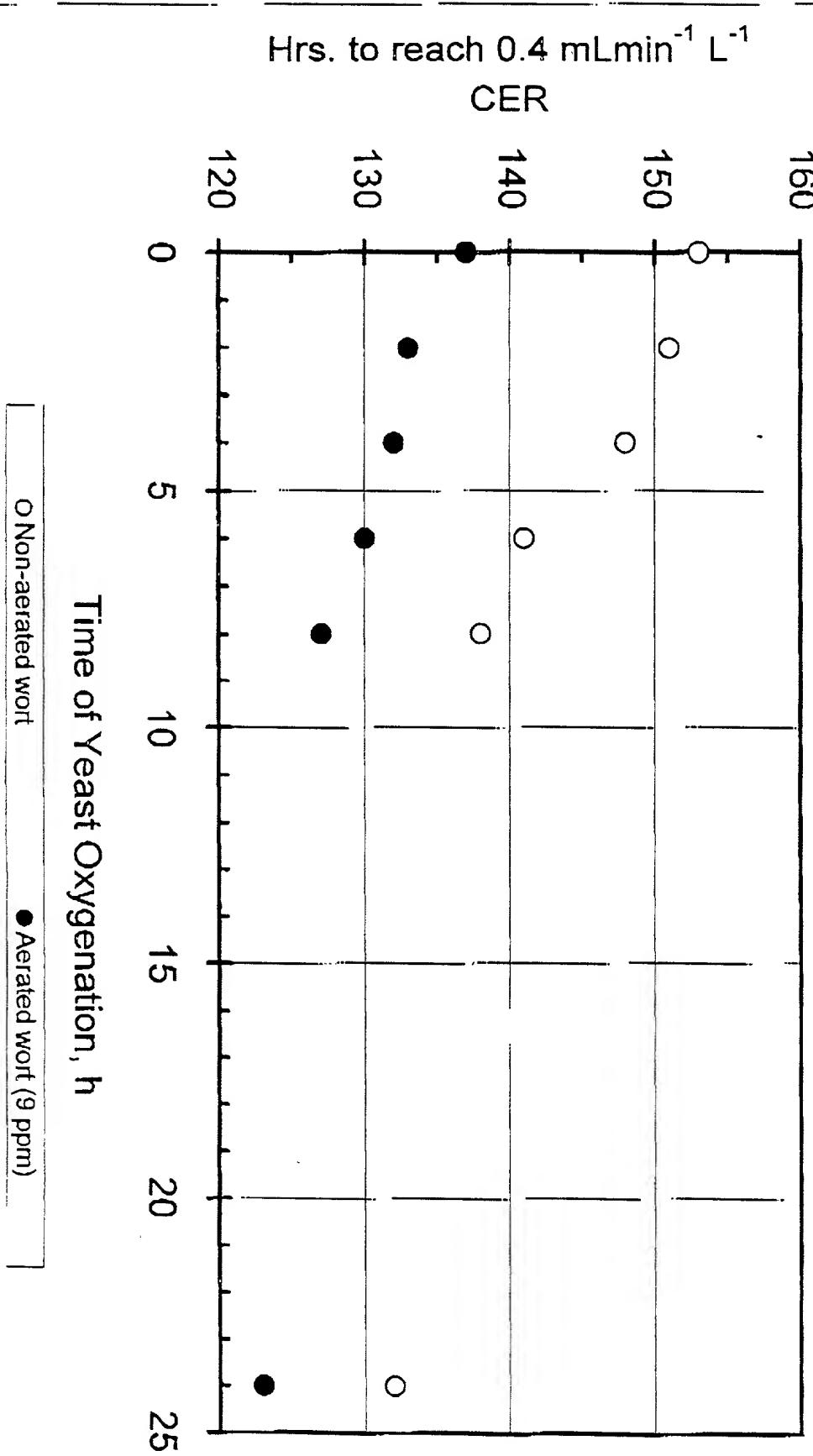


FIGURE 2.

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

Declaration

OR

Submitted

Declaration

Submitted after

with Initial Filing

Initial Filing

Attorney Docket Number 661005.90012

First Named Inventor Nick J. Huige, et al.

COMPLETE IF KNOWN

Application Number

Filing Date

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD OF OXYGENATING YEAST SLURRY*(Title of the Invention)*

the specification of which

 is attached hereto

OR

 was filed on (MM/DD/YY)

as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

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Additional foreign applications numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.
<input type="text"/>	<input type="text"/>	<input type="checkbox"/>

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DECLARATION

Page 2

I hereby claim benefit under Title 35, United States Code §120 of any United States application(s), or §365(C) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application or PCT international application in the manner provided in the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YY)	Parent Patent Number <i>(if applicable)</i>

Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and all continuation and divisional applications based thereon, and to transact all business in the Patent and Trademark Office connected therewith:

Firm Name Customer Number or label
OR
 List attorney(s) and/or agent(s) name and registration number below

Name	Registration Number	Name	Registration Number
Thad F. Kryshak	19,428	Gregory A. Nelson	30,577
Neil Hamilton	19,869	Keith M. Baxter	31,233
Thomas W. Ehrmann	20,374	John D. Franzini	31,356
Barry E. Sammons	25,608	Joseph W. Bain	34,290
J. Rodman Steele	25,931	Robert J. Sacco	35,667
Nicholas J. Seay	27,386	Jean C. Baker	35,433
George E. Haas	27,642	David G. Ryser	35,407
Michael J. McGovern	28,326	Bennett J. Berson	37,094
Carl R. Schwartz	29,437	Michael A. Jaskolski	37,551
		Jill A. Fahrlander	42,518

Additional attorney(s) and/or agents named on a supplemental priority sheet attached hereto

Please direct all correspondence to Customer Number or label OR Fill in correspondence address below

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Name of Sole or First Inventor:					A petition has been filed for this unsigned inventor					
Given Name	Nick		Middle Initial	J.	Family Name	Huige			Suffix e.g. Jr.	
Inventor's Signature						Date				
Residence: City	Brookfield		State	WI	Country	US		Citizenship	US	
Post Office Address		2315 Rockway Lane East								
Post Office										
City	Brookfield		State	WI	Zip	53005	Country	US		Applicant Authority
<input type="checkbox"/>	Additional inventors are being named on supplemental sheet(s) attached hereto									

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DECLARATION					ADDITIONAL INVENTOR(S) Supplemental Sheet					
Name of Additional Joint Inventor, if any:					A petition has been filed for this unsigned inventor					
Given Name	Murthy		Middle Initial		Family Name	Tata			Suffix e.g. Jr.	
Inventor's Signature						Date				
Residence: City	Wauwatosa		State	WI	Country	US		Citizenship	India	
Post Office Address	952 N. 124 th Street									
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City	Wauwatosa		Stat	WI	Zip	53226	Country	US		Applicant Authority
Name of Additional Joint Inventor, if any:					A petition has been filed for this unsigned inventor					
Given Name	Jeff		Middle Initial		Family Name	Fehring			Suffix e.g. Jr.	
Inventor's Signature						Date				
Residence: City	West Bend		State	WI	Country	US		Citizenship	US	
Post Office Address	3767 Hickory Lane									
Post Office Address										
City	West Bend		State	WI	Zip	53095	Country	US		Applicant Authority
Name of Additional Joint Inventor, if any:					A petition has been filed for this unsigned inventor					
Given Name	Michael		Middle Initial	C.	Family Name	Barney			Suffix e.g. Jr.	
Inventor's Signature						Date				
Residence: City	Elm Grove		State	WI	Country	US		Citizenship	US	
Post Office	15155 Westover Road									
Post Office										
City	Elm Grove		Stat	WI	Zip	53122	Country	U.S.		Applicant Authority
Name of Additional Joint Inventor, if any:					A petition has been filed for this unsigned inventor					
Given Name	David		Middle Initial		Family Name	Ryder			Suffix e.g. Jr.	
Inventor's Signature						Date				
Residence: City	Mequon		State	WI	Country	US		Citizenship	U.K.	
Post Office	10727 N. Gazebo Hills Parkway									
Post Office										
City	Mequon		State	WI	Zip	53092	Country	US		Applica
<input type="checkbox"/>	Additional inventors are being named on supplemental sheet(s) attached hereto									

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DECLARATION					ADDITIONAL INVENTOR(S) Supplemental Sheet					
Name of Additional Joint Inventor, if any:					A petition has been filed for this unsigned inventor					
Given Name	Alfonso		Middle Initial		Family Name	Navarro			Suffix e.g. Jr.	
Inventor's Signature						Date				
Residence: City	Milwaukee		State	WI	Country	US		Citizenship	Spain	
Post Office Address	1129 N. Jackson, #1413-C									
Post Office Address										
City	Milwaukee		Stat	WI	Zip	53202	Country	US		Applicant Authority
Name of Additional Joint Inventor, if any:					A petition has been filed for this unsigned inventor					
Given Name			Middle Initial		Family Name				Suffix e.g. Jr.	
Inventor's Signature						Date				
Residence: City			State		Country				Citizenship	
Post Office Address										
Post Office Address										
City			State		Zip		Country			Applicant Authority
Name of Additional Joint Inventor, if any:					A petition has been filed for this unsigned inventor					
Given Name			Middle Initial		Family Name				Suffix e.g. Jr.	
Inventor's Signature						Date				
Residence: City			State		Country	US		Citizenship	US	
Post Office Address										
Post Office										
City			Stat		Zip		Country			Applicant Authority
Name of Additional Joint Inventor, if any:					A petition has been filed for this unsigned inventor					
Given Name			Middle Initial		Family Name				Suffix e.g. Jr.	
Inventor's Signature						Date				
Residence: City			State		Country				Citizenship	
Post Office Address										
Post Office Address										
City			State		Zip		Country			Applicant Authority
<input type="checkbox"/>	Additional inventors are being named on supplemental sheet(s) attached hereto									